



Attorney Docket No. 09792909-5774

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Hironobu NARUI et al.

Application No. 10/764,640

Filed: January 26, 2004

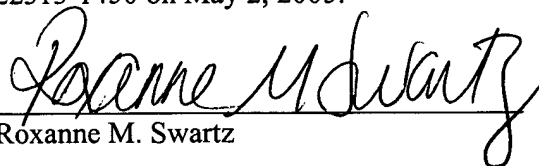
For: OPTICAL SEMICONDUCTOR  
APPARATUS

Group Art Unit: 2818

Examiner: Ngo, Ngan V.

**CERTIFICATE OF MAILING**

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)   
) Roxanne M. Swartz

Commissioner for Patents  
P.O. Box 1450  
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**INFORMATION DISCLOSURE STATEMENT**  
**BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. §1.97(b))**

Dear Sir:

In accordance with the provisions of 37 C.F.R. §1.56, Applicants request that the reference identified on the attached PTO/SB08/a form be made of official record in the above-identified application and considered by the Examiner.

I. SUBMITTED US PATENT/PUBLICATION REFERENCES

<u>Document No.</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
A1	US 5,900,619	US	May 4, 1999

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

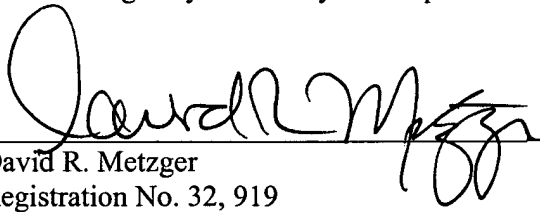
The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

II. EXPLANATION OF RELEVANCE

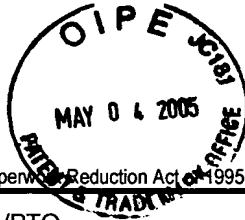
The information disclosure statement submitted herewith is being filed before the mailing date of a first Office action on the merits, pursuant to 37 C.F.R. §1.97(b). Therefore, no fee is associated with submission of this information disclosure statement. A copy of this document is enclosed herewith.

However, should any fees be deemed necessary in connection with the filing of this document, the Commissioner is hereby authorized to charge any deficiency in a required fee to Deposit Account No. 19-3140.

By: \_\_\_\_\_

  
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Dated: May 2, 2005



Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	10/764,640
				Filing Date	January 26, 2004
				First Named Inventor	Hironobu Narui
				Art Unit	2818
				Examiner Name	NGO, Ngan V.
Sheet	1	Of	1	Attorney Docket Number	09792909-5774

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number-Kind Code <sup>2</sup> (if known)			
	A1	US 5,900,619	05-04-1999	Kazuo Honda et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
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OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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